

## Dowty (1991) "Thematic Proto-Roles and Argument Selection"

**Basic idea:** underlying (almost) all of the generalized argument roles (= thematic roles) that a predicate may assign are the two "proto-roles" PROTO-AGENT and PROTO-THEME. Amongst the entailments that characterize these two proto-roles are the following:

Characteristic entailments of the PROTO-AGENT role:

- (i) intentional involvement in an event or state (= volition)
- (ii) consciousness and/or perception (= sentience)
- (iii) causing an event, state, or change in another participant
- (iv) movement (relative to the position of another participant)

Characteristic entailments of the PROTO-THEME role:

- (i) undergoes change (coming into/going out of a state, change in size, shape, material constitution, etc.)
- (ii) causally affected by another participant
- (iii) stationary (relative to movement of another participant)

Many of our traditional argument roles can be viewed as more-or-less central instantiations of the two proto-roles:

Nora petted the little kitty. (PROTO-AGENT entailments (i)-(iv))  
AGENT

The boulder crushed the hedgehog. (PROTO-AGENT entailments (iii),(iv) only)  
ACTOR

This key locks the door. (PROTO-AGENT entailments (iii),(iv) only)  
INSTRUMENT

Mabel fears the big dog. (PROTO-AGENT entailments (ii) only)  
EXPERIENCER

Argument selection principles (determine linkages between the argument roles that a predicate assigns and the grammatical roles of its arguments):

- the argument role which includes the greatest number of PROTO-AGENT entailments must be assigned to the subject NP
- the argument role which includes the greatest number of PROTO-THEME entailments must be assigned to the direct object NP
- if two argument roles include (approximately) the same number of PROTO-AGENT entailments, either may be assigned to the subject NP (likewise for PROTO-THEME entailments and direct object NPs)